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Attorney Docket No. CSSK-020CP3

64831-029

12-2302
CW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Alf-an N. Weiss
Serial No. 10/087,339
Filing Date: March 1, 2002
Title: PROXY ASSET SYSTEM AND METHOD
Art Unit 2852

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CERTIFICATE OF MAILING (37 C.F.R. § 1.8(1))

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Date: 10-24-02

Diane Valeta
Diane Valeta

Assistant Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Applicant and his attorney are aware of the following publications and information, listed on the attached PTO Form 1449, and in accordance with 37 CFR §1.97 hereby submit these publications for the Examiner's consideration. This Statement is being filed more than three months from the filing date of the application, but before the receipt of any Official Action from the Patent and Trademark Office.

This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, the Applicant understands that the Examiner will make an independent evaluation of the cited publications.

No additional costs are believed to be due in connection with the filing of this disclosure. However, please charge any necessary fees to our Deposit Account No. 50-1133.

Respectfully submitted,

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BST99 1318125-1.064831.0029

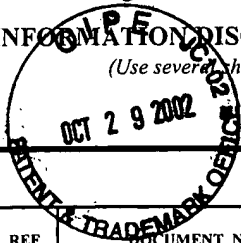
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INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

CSSK-20CP

Application Number

10/087,339

Applicant(s)

Weiss

Filing Date

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Group Art Unit

2852

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,963,923	10/05/99	Garber			
		6,249,775	06/19/01	Freeman et al.			

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FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		WO 98/13778	02APR98	WO				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Weisul, Kimberly, Datek exposes best bids, offers on all stocks, Investment Dealer's Digest, Vol. 63, Issue 32, August 11, 1997, pp. 10

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.